

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re: Lehman Brothers Holdings Inc.

Case No. 08-13555 (JMP)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

The Hongkong and Shanghai Banking Corporation Limited	HSBC Private Bank (Suisse) SA, Hong Kong branch
Name of Transferee	Name of Transferor
Name and Address where notices to transferee should be sent:	Court Claim # (if known): 56670
Level 13 & 14, 1 Queen's Road Central, HONG KONG Contact: Sarah Chan / Director	Amount of Claim: \$8,419,751.34
Last Four Digits of Acct. #: N/A	Date Claim Filed: October 29, 2009
Last Four Digits of Acct. #: N/A	Last Four Digits of Acct. #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Terry T.F. LAM Date: November 1, 2013

Transferee/Transferee's Agent  
Name: Terry T.F. LAM  
Title: Regional Head of Service Delivery, Private Banking Division

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

**HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH** ("Transferor"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that, as of 11:59 pm Hong Kong time on November 1, 2013, it has unconditionally and irrevocably transferred and assigned to **THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED** ("Transferee") all right, title and interest in and to Proof of Claim Number 56670 filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (JMP) in the United States Bankruptcy Court for the Southern District of New York (the "Claim").

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 1<sup>st</sup> of November, 2013.

**HSBC PRIVATE BANK (SUISSE) SA,  
HONG KONG BRANCH**

By: Terry Lam

Name: Terry T.F. LAM  
Title: Regional Head of Service Delivery  
Tel.: +852 3604 7500

**THE HONGKONG AND SHANGHAI  
BANKING CORPORATION LIMITED**

By: Terry Lam

Name: Terry T.F. LAM  
Title: Regional Head of Service Delivery,  
Private Banking Division  
Tel.: +852 3604 7500